

National Engagement for Draft SLTT NIMS Implementation Objectives

Attached for your review is a working draft of the state, local, tribal, and territorial (SLTT) National Incident Management System (NIMS) Implementation Objectives for SLTT jurisdictions and organizations.

FEMA most recently revised the NIMS Implementation Objectives in 2009 and is now updating them to ensure they are consistent with the Third Edition of NIMS, to incorporate stakeholder input, and to make them a more clear and more useful tool for organizations as they implement NIMS. To this end, FEMA has developed implementation indicators for each Implementation Objective. The indicators are not requirements and are not intended as a checklist, but rather as a means of clarifying the types of activities that organizations and jurisdictions may undertake when implementing NIMS.

FEMA developed these objectives and indicators in collaboration with a range of stakeholders. In order to further expand our engagement efforts, we are seeking your ideas and input on this working draft. To ensure all feedback is properly handled, please use the submission form available at <https://www.fema.gov/implementation-guidance-and-reporting>. All feedback should be submitted, using the submission form, to FEMA-NIMS@fema.dhs.gov by **Monday, February 5th, 2018 at 5:00 PM EDT**.

We look forward to receiving your feedback and working in partnership with you on this important endeavor. For further information on NIMS national engagement, visit <https://www.fema.gov/implementation-guidance-and-reporting> or send an e-mail to FEMA-NIMS@fema.dhs.gov.

Please note that federal departments and agencies use a separate set of Implementation Objectives. FEMA will work with Federal NIMS Coordinators to revise those in 2018.

Local, State, Tribal, and Territorial (SLTT) NIMS Implementation Objectives—2018

The *NIMS Implementation Objectives* reflect the concepts and principles contained in the National Incident Management System (NIMS). They provide goals for all states, tribal governments, and territories to work towards in order to promote consistency in NIMS implementation across the Nation. The NIMS Implementation Objectives clarify the NIMS implementation requirements in FEMA preparedness grant Notice of Funding Opportunities. As recipients and subrecipients of federal preparedness grant awards, jurisdictions and organizations must achieve, or be actively working to achieve, all of the *NIMS Implementation Objectives*.

In addition to the Implementation Objectives, this chart also lists Implementation Indicators for each objective. These indicators are not requirements or criteria that must be achieved in order to meet the objective. Instead, they are examples of characteristics that are frequently associated with jurisdictions/organizations that have achieved the objective. The indicators are not intended as checklist items for achieving the objectives but simply as additional information to assist jurisdictions/ organizations.

	NIMS Implementation Objectives	Implementation Indicators
General	1. Adopt the National Incident Management System (NIMS) throughout the jurisdiction or organization to prevent, protect against, mitigate, respond to, and recover from incidents.	A current and valid legal authority indicating that NIMS is the system of choice for the organization/jurisdiction.
	2. Designate and maintain a point of contact (POC) to serve as the principal coordinator for the implementation of NIMS.	Name and contact information for the current NIMS point of contact, responsible for the overall coordination and/or development of NIMS-related activities and documents for the jurisdiction.
	3. Ensure training for incident personnel incorporates NIMS training that is pertinent to each individual's incident responsibilities in alignment with the NIMS Training Program.	Official training guidance specify: <ul style="list-style-type: none"> • Which training course(s) incident personnel must take; • How long they have to complete the training after they join the organization/jurisdiction; and • When they need to refresh the training.
Resource Management <i>Vision: Seamless inventorying or typing and sharing of resources across organizational and jurisdictional lines</i>	4. Identify and inventory deployable incident resources consistently with national NIMS resource typing definitions and job titles/position qualifications, available through the Resource Typing Library Tool (NIMS page 6, http://www.fema.gov/resource-management-mutual-aid).	Up-to-date resource inventory (such as the Incident Resource Inventory System) which uses NIMS resource-typing definitions for all shareable and/or deployable resources.
	5. Adopt NIMS terminology for the qualification, certification, and credentialing of incident personnel. (Developing or participating in a qualification, certification, and credentialing program that aligns with the National Qualification System (NQS) is recommended but optional). (NIMS page 8)	Official guidance document specifying how incident personnel are qualified, certified, and credentialed consistently with NIMS terminology.
	6. Follow the NIMS Resource Management Process during incidents (Identify, manage, estimate, allocate, order, deploy, and demobilize) (NIMS page 12)	Current standard operating procedures incorporate the NIMS Resource Management Process. Exercise and/or real-world incident documentation indicating the appropriate use of NIMS Resource Management process and NIMS resource typing definitions.
	7. Develop, maintain, and implement mutual aid agreements (to include agreements with the private sector and nongovernmental organizations).	Mutual aid agreements are up-to-date and in effect, covering neighboring jurisdictions, the private sector, and nongovernmental organizations.

	NIMS Implementation Objectives	Implementation Indicators
Command and Coordination <i>Vision: Integrated decision making and unity of effort among all incident personnel</i>	8. Apply ICS as the standard approach to the on-scene command, control, and coordination of incidents.	Exercises and/or real-world incident documentation and/or after-action reports indicating consistent use of NIMS structures, principles, and procedures.
	9. Implement JIS for the dissemination of incident information to the public, incident personnel, the media, and other stake holders.	Standard operating procedures and emergency operations plans that reflect NIMS guidance such as NIMS Management Characteristics, the Incident Command System (ICS), Multiagency (MAC) Groups, and Joint Information Systems (JIS).
	10. Use MAC Groups/Policy Groups as the policy-level body during incidents to support resource prioritization and allocation and enable decision making among elected and appointed officials and those responsible for managing the incident.	
	11. Organize and manage emergency operations centers (EOC) and EOC teams consistently with pertinent NIMS guidance.	EOPs, SOPs, organizational charts, and/or training program materials reflecting NIMS EOC guidance.
Communications and Information Management <i>Vision: Information gets to who it needs to, when it needs to, and in a means they can understand.</i>	12. Apply plain language and clear text communications standards.	SOPs, standard operating guidelines, and training program materials direct the use of plain language and clear text for incident communications.
	13. Enable effective and secure communications within and across jurisdictions and organizations.	Exercise or real-world incident documentation (e.g., after-action reports) indicate that: <ul style="list-style-type: none"> · Communications and information systems are reliable and scalable and can function in any type of incident · Communications systems are resilient and redundant · Incident data, network, and systems are appropriately protected and secure · Appropriate communication guidance is incorporated into EOPs and/or supporting plans or annexes
	14. Develop, maintain, and implement procedures for data collection, analysis, and dissemination to meet organizational needs for situational awareness.	Exercise or real-world incident documentation indicate that situational awareness is collected, analyzed, and disseminated effectively and consistently with NIMS guidance. Data collection plans and SOPs align with NIMS guidance on information management and NIMS command and coordination structures.